

<b>LIST OF REFERENCES CITED BY APPLICANT</b> <small>(Use several sheets if necessary)</small>					ATTY. DOCKET NO.	APPLICATION NO.	
					10165-010	09/717,057	
					APPLICANT		
					Brines et al.		
					FILING DATE	GROUP	
					November 21, 2000	TBA	

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*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
JKM	AA	5,888,772	3/30/99	Okasinski et al.			
	AB	5,856,298	1/5/99	Strickland			
	AC	5,835,382	11/10/98	Wilson et al.			
	AD	5,830,851	11/3/98	Wrighton et al.			
	AE	5,773,569	6/30/98	Wrighton et al.			
	AF	5,767,078	6/16/98	Johnson et al.			
	AG	5,714,459	2/3/98	O'Brien			
	AH	5,700,909	12/23/97	O'Brien			
	AI	5,696,080	12/9/97	O'Brien			
	AJ	5,661,125	8/26/97	Strickland			
	AK	5,621,080	4/15/97	Lin			
	AL	5,614,184	3/25/97	Sytkowski et al.			
	AM	5,571,787	11/5/96	O'Brien et al.			
	AN	5,457,089	10/10/95	Fibi et al.			
	AO	4,835,260	5/30/89	Shoemaker			
	AP	4,806,524	2/21/89	Kawaguchi et al.			
JKM	AQ	4,703,008	10/27/87	Lin			

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
JKM	AR	WO 00/35475	6/22/00	PCT (in German w/English abstract)			X	
	AS	WO 98/18926	5/7/98	PCT				
	AT	WO 97/32895	12/12/97	PCT				
	AU	WO 97/18318	5/22/97	PCT (in Japanese w/English abstract)				X
JKM	AV	WO 95/05465	2/23/95	PCT				

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JKM	AW	Bernaudin et al., 1999, "A potential role for erythropoietin in focal permanent cerebral ischemia in mice", J. Cereb. Blood Flow Metab. 19:643-651
	AX	Bondy, 1995, "The relaxation of oxidative stress and hyperexcitation to neurological disease", Proc. Soc. Exp. Biol. Med. 208:337-345
	AY	Brines et al., 2000, "Erythropoietin crosses the blood-brain barrier to -protect against experimental brain injury", Proc. Natl. Acad. Sci. USA 97:10526-10531
JKM	AZ	Campana et al., 1998, "Identification of a neurotrophic sequence in erythropoietin", Int. J. Mol. Med. 1:235-241

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YMO	BA	Digicaylioglu et al. 1995, "Localization of specific erythropoietin binding sites in defined areas of the mouse brain.", Proc. Natl. Acad. Sci. USA 92:3717-3720
	BB	Dipaolo et al., 1992, "Effects of uremia and dialysis on brain electrophysiology after recombinant erythropoietin treatment", ASAIO J. 38:M477-M480
	BC	Grimm et al., 1990, "Improvement of brain function in hemodialysis patients treated with erythropoietin", Kidney Intl. 38:480-486
	BD	Hefti, 1997, "Pharmacology of neurotrophic factors", Annu. Rev. Pharmacol. Toxicol. 37:239-267
	BE	Hengemihle et al., 1996, "Chronic treatment with human recombinant erythropoietin increases hematocrit and improves water maze performance in mice", Physiol. Behav. 59:153-156
	BF	Hirakata et al., 1992, "CBF and oxygen metabolism in hemodialysis patients: effects of anemia correction with recombinant human EPO", Am. J. Physiol. 262:F737-F743
	BG	Juul et al., 1998, "Erythropoietin and erythropoietin receptor in the developing human central nervous system", Pediatr. Res. 43:40-49
	BH	Konishi et al., 1993, "Trophic effect of erythropoietin and other hematopoietic factors on central cholinergic neurons in vitro and in vivo", Brain Res. 609:29-35
	BI	Kopf et al., 1994, "Memory-improving actions of glucose: involvement of a central cholinergic muscarinic mechanism.", Behav. Neural Biol. 62:237-243
	BJ	Latini et al., 1998, "Comparative efficacy of a DA2/α2 agonist and a β-blocker in reducing adrenergic drive and cardiac fibrosis in an experimental model of left ventricular dysfunction after coronary artery occlusion", J. Cardiovasc. Pharmacol. 31:601-608
	BK	Li et al., 1998, "A single pre-training glucose injection induces memory facilitation in rodents performing various tasks: contribution of acidic fibroblast growth factor", Neurosci. 85:785-794
	BL	Lipinski et al., 1995, "Nerve growth factor facilitates conditioned taste aversion learning in normal rats", Brain Res. 692:143-153
	BM	Liu et al., 1997, "Regulated human erythropoietin receptor expression in mouse brain", J. Biol. Chem. 272:32395-32400
	BN	Liu et al., 1994, "Tissue specific expression of human erythropoietin receptor in transgenic mice", Devel. Biol. 166:159-169
	BO	Marrero et al., 1998, "Erythropoietin receptor-operated $Ca^{2+}$ channels: activation by phospholipase C-γ1", Kidney Intl. 53:1259-1268
	BP	Marsh et al., 1991, "rHuEPO treatment improves brain and cognitive function of anemic dialysis patients", Kidney Intl. 39:155-163
	BQ	Marti et al., 1997, "Detection of erythropoietin in human liquor: intrinsic erythropoietin production in the brain", Kidney Intl. 51:416-418
	BR	Marti et al., 1996, "Erythropoietin gene expression in human, monkey and murine brain", Eur. J. Neurosci. 8:666-676
	BS	Masuda et al., 1997, "Insulin-like growth factors and insulin stimulate erythropoietin production in primary cultured astrocytes", Brain Res. 746:63-70
	BT	Masuda et al., 1994, "A novel site of erythropoietin production. Oxygen-dependent production in cultured rat astrocytes", J. Biol. Chem. 269:19488-19493
YMO	BU	Masuda et al., 1993, "Functional erythropoietin receptor of the cells with neural characteristics. Comparison with receptor properties of erythroid cells", J. Biol. Chem. 268:11208-11216

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<i>PLM</i>	BV	Morishita et al., 1997, "Erythropoietin receptor is expressed in rat hippocampal and cerebral cortical neurons, and erythropoietin prevents <i>in vitro</i> glutamate-induced neuronal death", Neurosci. 76:105-116
	BW	Moss and Scholey, 1996, "Oxygen administration enhances memory formation in healthy young adults", Psychopharmacol. 124:255-260
	BX	Nakamura et al., 1998, "Elevated levels of erythropoietin in cerebrospinal fluid of depressed patients", Am. J. Med. Sci. 315:199-201
	BY	Nissenson et al., 1991, "Recombinant human erythropoietin and renal anemia: molecular biology, clinical efficacy and nervous system effects", Ann. Int. Med. 114:402-416
	BZ	Nissenson, 1989, "Recombinant human erythropoietin: impact on brain and cognitive function, exercise tolerance, sexual potency and quality of life", Sem. Nephrol. 9(suppl. 2):25-31
	CA	Ogden, 1989, "Monitoring considerations in recombinant human erythropoietin therapy", Sem. Nephrol. 9(suppl. 2):12-15
	CB	Pardridge, 1997, "Drug delivery to the brain", J. Cerebral Blood Flow Metab. 17:713-731
	CC	Pardridge et al., 1991, "Selective transport of an anti-transferrin receptor antibody through the blood-brain barrier <i>in vivo</i> ", J. Pharmacol. Exp. Ther. 27:66-70
	CD	Poduslo et al., 1994, "Macromolecular permeability across the blood-nerve and blood-brain barriers", Proc. Natl. Acad. Sci. USA 91:5705-5709
	CE	Prendergast et al., 1997, "Nitric oxide synthase inhibition impairs spatial navigation learning and induces conditioned taste aversion", Pharmacol. Biochem. Behav. 57:347-352
	CF	Rose and Audus, 1998, "Receptor-mediated angiotensin II transcytosis by brain microvessel endothelial cells", Peptides 19:1023-1030
	CG	Sadamoto et al., 1998, "Erythropoietin prevents place navigation disability and cortical infarction in rats with permanent occlusion of the middle cerebral artery", Biochem. Biophys. Res. Comm. 253:26-32
	CH	Sakanaka et al., 1998, " <i>In vivo</i> evidence that erythropoietin protects neurons from ischemic damage", Proc. Natl. Acad. Sci. USA 95:4635-4640
	CI	Tabira et al., 1995, "Neurotrophic effect of hematopoietic cytokines on cholinergic and other neurons <i>in vitro</i> ", Int. J. Devl. Neurosci. 13:241-252
	CJ	Wolcott et al., 1989, "Recombinant human erythropoietin treatment may improve quality of life and cognitive function in chronic hemodialysis patients", Am. J. Kidney Dis. 14:478-485
	CK	Wu and Pardridge, 1999, "Neuroprotection with noninvasive neurotrophin delivery to the brain", Neurobiol. 96:254-259
<i>PLM</i>	CL	Yamaji et al., 1996, "Brain capillary endothelial cells express two forms of erythropoietin receptor mRNA", Eur. J. Biochem. 239:494-500
EXAMINER	<i>PLM 7089</i>	
	DATE CONSIDERED <i>10/21/08</i>	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

SUPPLEMENTAL LIST OF REFERENCES CITED BY APPLICANT					ATTY. DOCKET NO. 10165-010-999	SERIAL NO. 09/717,057	
					APPLICANT Brines et al.		
					FILING DATE November 21, 2000	GROUP T646 1647	
U.S. PATENT DOCUMENTS							
*EXAMINER INITIALS RJM	DOCUMENT NUMBER CR 4,377,513	DATE 03/22/83	NAME Sugimoto et al.	CLASS —	SUBCLASS —	FILING DATE IF APPROPRIATE —	
FOREIGN PATENT DOCUMENTS							
	DOCUMENT NUMBER cs. WO 01/82952	DATE 11/08/01	COUNTRY PCT	CLASS —	SUBCLASS —	TRANSLATION YES NO	
	CT. WO 01/82953	11/08/01	PCT	—	—	X	X
	CU. 5-246885	09/24/93	JP-A Kokai	—	—	X	X
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CV.	Alafaci et al., 2000, "Effect of Recombinant Human Erythropoietin on Cerebral Ischemia Following Experimental Subarachnoid Hemorrhage," <i>Eur. J. Phar.</i> , <b>406</b> :219-225.						
CW.	Annable et al., 1972, "The Second International Reference Preparation of Erythropoietin, Human, Urinary, for Bioassay," <i>Bull. Org. mond. Sante</i> , <b>47</b> :99-112.						
CX.	Ashwell et al., 1978, "A Protein from Mammalian Liver that Specifically Binds Galactose-Terminated Glycoproteins," <i>Meth. Enzymol.</i> , <b>50</b> :287-291.						
CY.	Bauer, 1995, "The Oxygen Sensor That Controls EPO Production: Facts and Fancies," <i>J. Perinat. Med.</i> , <b>23</b> :7-12.						
CZ.	Briggs et al., 1974, "Hepatic Clearance of Intact and Desialylated Erythropoietin," <i>Am. J. Physiol.</i> , <b>227</b> :1385-1388.						
DA.	Bruneval et al., 1993, "Erythropoietin Synthesis by Tumor Cells in a Case of Meningioma Associated With Erythrocytosis," <i>Blood</i> , <b>81</b> :1593-1597.						
DB.	Camiscoli et al., 1968, "Comparative Assay of Erythropoietin Standards," <i>Annals New York Acad. Sci.</i> , <b>149</b> :40-45.						
DC.	Claus-Walker and Dunn, 1984, "Spinal Cord Injury and Serum Erythropoietin," <i>Arch. Phys. Med. Rehabil.</i> , <b>65</b> :370-374.						
DD.	Cotes, 1968, "Quantitative Estimation of Erythropoietin," Part I. Assay and Standardization of Erythropoietin, <i>Annals New York Acad. Sci.</i> , <b>149</b> :12-17.						
DE.	Cotes and Bangham, 1961, "Bio-Assay of Erythropoietin in Mice Made Polycythaemic By Exposure to Air at a Reduced Pressure," <i>Nature</i> , <b>191</b> :1065-1067.						
DF.	Cotes and Bangham, 1966, "The International Reference Preparation of Erythropoietin," <i>Bull. Org. mond. Sante</i> , <b>35</b> :751-760.						
DG.	Dordal et al., 1985, "The Role of Carbohydrate in Erythropoietin Action," <i>Endocrinol.</i> , <b>116</b> :2293-2299.						
DH.	Dube et al, 1988, "Glycosylation at Specific Sites of Erythropoietin is Essential for Biosynthesis, Secretion, and Biological Function," <i>J. Biol. Chem.</i> , <b>263</b> :17516-17521.						
DI.	<i>Eur. Pharmacopoeia</i> , 1997, p. 5.						
DJ.	<i>Eur. Pharmacopoeia</i> , Suppl. 2001, pp. 777-782.						
DK.	Fukuda et al., 1989, "Survival of Recombinant Erythropoietin in the Circulation: The Role of Carbohydrates," <i>Blood</i> , <b>73</b> :84-89.						

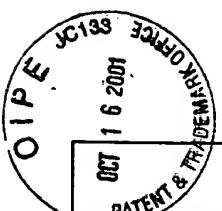
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<i>DP</i>	DL.	Garthoff, 1995, "Safety and Efficacy Testing of Hormones and Related Products," The Report and Recommendations of ECVAM Workshop 9, <i>A.T.L.A.</i> , <b>23</b> :699-711.
	DM.	Goldwasser <i>et al.</i> , 1974, "On the Mechanism of Erythropoietin-Induced Differentiation," <i>XIII. The Role of Sialic Acid in Erythropoietin Action</i> , <i>J. Biol. Chem.</i> , <b>249</b> :4202-4206.
	DN.	Goldwasser <i>et al.</i> , 1975, "An Assay for Erythropoietin <i>in Vitro</i> at the Milliunit Level," <i>Endo.</i> , <b>97</b> :315-323.
	DO.	Goldwasser and Gross, "Erythropoietin: Assay and Study of Its Mode of Action," <i>Hormone Assays</i> , pp. 109-121.
	DP.	Hammond <i>et al.</i> , 1968, "Production, Utilization and Excretion of Erythropoietin: I. Chronic Anemias. II. Aplastic Crisis. III. Erythropoietic Effects of Normal Plasma," <i>Erythropoietin</i> , <b>149</b> :516-527.
	DQ.	Horton <i>et al.</i> , 1991, "Von Hippel-Lindau Disease and Erythrocytosis: Radioimmunoassay of Erythropoietin in Cyst Fluid From a Brainstem Hemangioblastoma," <i>Neurology</i> , <b>41</b> :753-754.
	DR.	Imai <i>et al.</i> , 1990, "Physicochemical and Biological Characterization of Asialoerythropoietin," <i>Eur. J. Biochem.</i> , <b>194</b> :457-462.
	DS.	Keighley, 1968, "Further Experiences with Assays, Units, and Standards of Erythropoietin," <i>Annals New York Acad. Sci.</i> , <b>149</b> :18-24.
	DT.	Kohama <i>et al.</i> , 2000, "Large Uterine Myoma with Erythropoietin Messenger RNA and Erythrocytosis," <i>Obstetrics and Gynecology</i> , <b>96</b> :826-828.
	DU.	Lowy <i>et al.</i> , 1960, "Inactivation of Erythropoietin by Neuraminidase and by Mild Substitution Reactions," <i>Nature</i> , <b>185</b> :102-103.
	DV.	Matsuyama <i>et al.</i> , 2000, "Erythrocytosis Caused by an Erythropoietin-Producing Hepatocellular Carcinoma," <i>J. Surg. Oncology</i> , <b>75</b> :197-202.
	DW.	Miyake <i>et al.</i> , 1977, "Purification of Human Erythropoietin," <i>J. Biol. Chem.</i> , <b>252</b> :5558-5564.
	DX.	Morrell <i>et al.</i> , 1968, "Physical and Chemical Studies on Ceruloplasmin," Metabolic Studies on Sialic Acid-Free Ceruloplasmin <i>In Vivo</i> , <i>J. Biol. Chem.</i> , <b>243</b> :155-159.
	DY.	Nakamura <i>et al.</i> , 1998, "Elevated Levels of Erythropoietin in Cerebrospinal Fluid of Depressed Patients," <i>Am. J. Med. Sci.</i> , <b>315</b> :199-201.
	DZ.	Shiramizu <i>et al.</i> , 1994, "Constitutive Secretion of Erythropoietin by Human Renal Adenocarcinoma Cells <i>In Vivo</i> and <i>in Vitro</i> ," <i>Exp. Cell Res.</i> , <b>215</b> :249-256.
	EA.	Shore <i>et al.</i> , 1968, "Quantitative Estimation of Erythropoietin," <i>Annals New York Acad. Sci.</i> , <b>149</b> :46-48.
	EB.	Spivak and Hogans, 1989, "The <i>In Vivo</i> Metabolism of Recombinant Human Erythropoietin in the Rat," <i>Blood</i> , <b>73</b> :90-99.
	EC.	Storring <i>et al.</i> , 1998, "Epoietin Alfa and Beta Differ In Erythropoietin Isoform Compositions and Biological Properties," <i>British J. Haematology</i> , <b>100</b> :79-89.
	ED.	Storring and Gaines Das, 1992, "The International Standard for Recombinant DNA-Derived Erythropoietin: Collaborative Study of Four Recombinant DNA-derived Erythropoietins and Two Highly Purified Human Urinary Erythropoietins," <i>J. Endocrinol.</i> , <b>134</b> :459-484.
	EE.	Suzuki <i>et al.</i> , 2001, "Erythropoietin Synthesis by Tumour Tissues in a Patient With Uterine Myoma and ERythrocytosis," <i>British J. Haematology</i> , <b>113</b> :49-51.
<i>EF.</i>	EF.	Weiland <i>et al.</i> , "In vivo Activity of Asialo-Erythropoietin in Combination with Asialo-Glycoproteins," 1982, <i>Blut</i> , <b>44</b> :173-175.
EXAMINER <i>Ryan T. Ober</i>	DATE CONSIDERED	<i>10/21/02</i>

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## SUPPLEMENTAL LIST OF REFERENCES CITED BY APPLICANT

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ATTY. DOCKET NO.

10165-010

APPLICATION NO.

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APPLICANT

Brines et al.

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November 21, 2000

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*EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
CM		5,756,349		Lin			
CN		5,618,698		Lin			RECEIVED
CO		5,955,422		Lin			OCT 22 2001
CP		5,547,933		Lin			
CQ		6,165,783		Weiss et al.			TECH CENTER 16

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES NO

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)


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					10165-010-999	09/717,057	
APPLICANT					TECH CENTER 1600/2900		
Brines et al.							
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November 21, 2000					4646-1647		

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES NO

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RJD	EG	Anagnostou et al., 1994, "Erythropoietin receptor mRNA expression in human endothelial cells", Proc. Natl. Acad. Sci. USA 91:3974-3978
	EH	Benyo and Conrad, 1999, "Expression of erythropoietin receptor by trophoblast cells in the human placenta", Biol. Reproduct. 60:861-870
	EI	Bernaudin et al., 2000, Neurons and astrocytes express EPO mRNA: oxygen-sensing mechanisms that involve the redox-state of the brain", Glia 30:271-278
	EJ	Ehrenreich et al., 2002, "Erythropoietin therapy for acute stroke is both safe and beneficial", Molec. Med., in press
	EK	Farrell et al., 2001, "Erythropoietin crosses the blood brain barrier", Blood 98:148b (abstr. # 4265; 43 <sup>rd</sup> Annual Meeting of the American Society of Hematology, Orlando FL, Dec. 7-11, 2001)
	EL	Gorio et al., 2002, "Recombinant human erythropoietin counteracts secondary injury and markedly enhances neurological recovery from experimental spinal cord trauma", Proc. Natl. Acad. Sci. USA 99:9450-9455 (PNAS Early Edition www.pnas.org/cgi/doi/10.1073/pnas.142287899)
	EM	Grasso et al., 2002, "Beneficial effects of systemic administration of recombinant human erythropoietin in rabbits subjected to subarachnoid hemorrhage", Proc. Natl. Acad. Sci. USA 99:5627-5631
	EN	Gregory et al., 1999, "GATA-1 and erythropoietin cooperate to promote erythroid cell survival by regulating bcl-x <sub>l</sub> expression", Blood 94:87-96
	EO	Junk et al., 2002, "Erythropoietin administration protects retinal neurons from acute ischemia-reperfusion injury", Proc. Natl. Acad. Sci. USA 99:10659-10664 (PNAS Early Edition www.pnas.org/cgi/doi/10.1073/pnas.152321399)
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	EQ	Juul et al., 1998, "Tissue distribution of erythropoietin and erythropoietin receptor in the developing human fetus", Early Human Devel. 52:235-249
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	ES	Liu et al., 1996, "Transgenic mice containing the human erythropoietin receptor gene exhibit correct hematopoietic and neural expression", Proc. Assoc. Am. Physicians 108:449-454
	ET	Mioni et al., 1992, "Evidence for specific binding and stimulatory effects of recombinant human erythropoietin on isolated adult rat Leydig cells", Acta Endocrinologica 127:459-465
	EU	Okada et al., 1996, "Erythropoietin stimulates proliferation of rat-cultured gastric mucosal cells", Digestion 57:328-332
RJD	EV	Sawyer et al., 1989, "Receptors for erythropoietin in mouse and human erythroid cells and placenta", Blood 74:103-109

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<i>RJD</i>	EW	Alava et al., 1999, "Erythropoietin can induce the expression of bcl-x <sub>L</sub> through Stat5 in erythropoietin-dependent progenitor cell lines", J. Biol. Chem. 274:22165-22169
	EX	Sirén et al., 2001, "Erythropoietin prevents neuronal apoptosis after cerebral ischemia and metabolic stress", Proc. Natl. Acad. Sci. USA 98:4044-4049
	EY	Westenfelder et al., 1999, "Human, rat and mouse kidney cells express functional erythropoietin receptors", Kidney Int. 55:808-820
	EZ	Williams et al., 1994, "Human erythropoietin receptor", Ann. NY Acad. Sci. 718:232-244
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